

## UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 02-1198-A)

PATENT

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In the Application of:	)	
Hepler <i>et al</i> .	)	
	)	Art Unit: 1615
Serial No.: 10/721,373	)	
	)	Examiner: TBA
Filed: November 25, 2003	)	
	)	
For: Liposomal Analgesic Formulation And Use	)	
TRANSMITTAL LE	TTER	
Commissioner for Patents		
P.O. Box 1450		
Alexandria, VA 22313-1450		
Dear Sir:		

In regard to the above identified application,

- 1. We are transmitting herewith the attached:
  - a) Second Supplemental Information Disclosure Statement PTO Form 1449A;
  - b) Five cited references; and
  - c) Return postcard
- 2. With respect to fees:
  - a) It is believed no fee is due at this time.
  - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
- 3. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 5-18-65.

Respectfully submitted,

Date: 5-18-04

Lisa M.W. Hillman, Ph.D Registration No. 43,673

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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tute for form 1449A/PTO

MAY 22 2006

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 10/721,373

Filing Date November 25, 2003

First Named Inventor Hepler

Art Unit 1615

Examiner Name TBA

Attorney Docket Number 02-1198-A

(Use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
	*	us- 5,738,869	04-14-1998	Fischer et al.		
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	DE 199 40 227 A1	03-08-2001	lbscher		
	2	WO 95/35095	12-28-1995	Touitou		
	-	·				

Examiner Signature	Date Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449B/PTO		Complete if Known		
		Application Number	10/721,373	
INFORMATION DISCLOSURE		Filing Date	November 25, 2003	
STATEMENT BY APPL	CANT	First Named Inventor Hepler		
		Art Unit	1615	
(Use as many sheets as necessary,	1	Examiner Name	TBA	
Sheet 2 of	2	Attorney Docket Number	02-1198-A	

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
	3	EPO communication for Patent application No. 03814246.9-2123 PCT/US0340717, for the corresponding European application dated February 22, 2006			
	4	Grace, et al., "Topical diclofenac versus placebo: A double blind, randomized clinical trial in patients with osteoarthritis of the knee", Database Biosis 'Online! Biosciences Informatio Service, 1999			
	5	Curt Hunnius, Artur Burger, Helmut Wachter, "Hunnius Pharmazeutisches Worterbuch", De Gruyter, p. 833, 1998			

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Examiner	Date	
Signature	Considered	

<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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